

12/19/01



J1048 U.S. PTO

12-26-01

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Express Mail Label No. EL822885521US

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	DPL-014
First Named Inventor	Shu
Title	DISTRIBUTED FAULT TOLERANT AND SECURE STORAGE

PTO
STN 0352C

12/19/01

**APPLICATION ELEMENTS**ADDRESS TO: **Box Patent Application
Commissioner for Patents
Washington, D.C. 20231**

1. ☒ Fee Transmittal Form
2. ☒ Small Entity Status
☒ Applicant claims small entity status
☐ Status established in prior application and is still proper and desired
3. ☒ Specification and Drawings [Total Pages 45]
- Written Description - (31 pages)
- Claims - (3 pages)
- Abstract - (1 page)
- Sheets of Drawings - (10 sheets)
☐ Formal
☒ Informal
4. ☒ Oath or Declaration [Total Pages 3]
a. ☒ Newly executed (original)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
5. ☐ Incorporation by Reference (usable if Box 4b is checked)
The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☒ Application Data Sheet
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
☐ Computer Readable Form (CRF)
☐ Paper Copy (identical to computer copy)
☐ CD (2 copies) (identical to computer copy)
☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
☐ Drawings [Total Sheets]
12. ☒ Return Receipt Postcard (specifically itemized)
13. ☐ Certified Copy of Priority Document(s) (if foreign priority claimed)
14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)
15. ☐ CD in duplicate for large table or computer program
16. ☐ Other:

17. ☐ If a **CONTINUING APPLICATION**: Amend the specification by inserting on page 1, before the first line, the sentence:
--This is a
☐ continuation ☐ divisional ☐ continuation-in-part of prior application Serial No. / , filed on , the entire disclosure of which is incorporated by reference herein.--

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: . Group/Art Unit: .

18. ☒ **Priority - 35 U.S.C. 119**
☒ Priority of application Serial No. 60/258,127, filed on 12/22/00 in U.S., is claimed under 35 U.S.C. 119.
☐ The certified copy has been filed in prior U.S. application Serial No. / on .
☐ The certified copy will follow.

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
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125 High Street
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Fax No.: (617) 248-7100
Customer No. 021323

SIGNATURE BLOCK

Date: December 19, 2001
Reg. No. 45,054
Tel. No.: (617) 248-7376
Fax No.: (617) 248-7100

Respectfully submitted/

Jamie H. Rose
Agent for Applicant(s)
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110

ROSEJHT6209\16.2232213_1

FEE TRANSMITTAL

FY 2002

Complete if Known

Application Serial Number	Not Yet Assigned
Filing Date	Filed Herewith
First Named Inventor	Shu
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	DPL-014

10/02/01
12/19/01



METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																			
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2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit. 3. <input checked="" type="checkbox"/> Applicant claims small entity status.																																																																																					
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